STAR
Asset Finance Group

CREDO FIRST CAPITAL FINANCE IGNITION KENNET

Group Head of Compliance

STAR Asset Finance Group is on a mission to change asset finance for good, by offering industry leading service, support and engagement to our customers, employees and peers, and we have an exciting opportunity for a Group Head of Compliance to join our Group.

Star Asset Finance is a financial services Group currently made up of four established asset finance companies across the UK. Under the stewardship of our CEO, STAR have exciting plans for rapid expansion, which will see an increase of market share in existing regions, and significant growth in the vendor sales and finance space.

Star Asset Finance promotes equality of opportunity and is committed to ensure that no individual is discriminated against on the grounds of age, disability, gender reassignment, marriage or civil partnership status, pregnancy and maternity, race, religion or belief, sex or sexual orientation.

REPORTING TO	LOCATION	CONTRACT TYPE	HOURS
CEO	Preferably Birmingham but can be flexible	Permanent	Full-time

JOB PURPOSE

The role will involve leading the Compliance team to ensure that the business continues to meet requirements and compliance frameworks published by the relevant regulatory bodies. This involves ensuring adherence to all internal and external rules and regulations.

The primary focus of this role is to ensure the business is compliant with all relevant regulations; proactively identify and manage the risks, drive a positive, healthy, and sustainable culture across the business.

JOB RESPONSIBILITIES

- Managing and supervising the Compliance team
- Implementing and maintaining a suitable risk management and reporting framework for the Group to demonstrate oversight and drive management action as required
- Acting as subject matter expert on regulatory requirements and preparing the business response to any future regulatory changes applicable to it
- Owning regulatory reporting and liaising with the regulators
- Developing, implementing and reviewing of regulatory policies, processes, and procedure
- Influencing and training others to understand the importance of compliance and provide support with training material and feedback to departments
- Working closely with the operational teams to design and implement any necessary process changes to ensure compliance with applicable regulations
- Act as subject matter expert for AML and financial Crime
- Hold SMF 16 and 17
- Collate and submit all regulatory reporting
- Audit and ensure compliance for the AR network
- Complete all SMCR Function holder sign off on an annual basis.
- Maintain compliance with Consumer Duty
- Supporting project management work ensuring any new products and processes are compliant and carrying out a full end to end audit prior to sign off
- Regular reviews of Company's website and financial promotions to ensure compliance with regulatory obligations and requirements
- Ensuring the Group's complaints procedures are fit for purpose and compliant with the latest rules and guidance
- Monitoring changes in regulatory guidance regarding financial/marketing promotions, working closely with other departments to ensure the business remains compliant
- Maintaining an effective working knowledge of the latest regulatory standards and guidance
- Complete a quarterly Compliance Report

- **COMPLIANCE, TRAINING & DEVELOPMENT REQUIREMENTS**
    - Keep up to date with compliance regulation updates including any changes within your role
    - Attend and/or complete any mandatory training courses stipulated by the Company
    - Lead by example and embed the conduct rules in every aspect of your work
    - Identify and approve relevant training opportunities

EXPERIENCE

- Educated to Degree level
- Knowledge and experience in SMCR
- Good people management, organisational, and communication skills
- Preferred experience gained in a similar role within the asset finance industry – both brokerage and lender
- An in-depth understanding of the FCA's handbook and regulatory framework (as both a broker and lender)
- A sound understanding of the Data Protection rules
- A track record of working in a regulated environment providing practical support and advice on risk and compliance related matters
- Flexibility in approach and able to work well under pressure and manage competing deadlines whilst remaining professional, adaptable, approachable, and compassionate towards our colleagues, customers and clients
- Strong interpersonal skills and an ability to be assertive and negotiate and influence management at a senior level
- Excellent attention to detail, with a strong focus on producing high quality work
- Ability to manage and communicate change effectively

APPLYING

Send your up-to-date CV to careers@starassetfinance.com